

BANGOR BUSINESS SCHOOL

FINANCIAL CRIME



PRIFYSGOL
BANGOR
UNIVERSITY

Chartered Banker

CHARTERED BANKER MBA SHORT COURSES

A unique opportunity exists to access training that is globally recognised and delivered online. With minimal disruption from the workplace, the 12-week intensive short course delivers learning outcomes which can be applied directly into every day scenarios from day one.

Any module offered as part of the Chartered Banker MBA (CBMBA) can be taken in isolation, This is a fast track solution for those who desire focused learning that is accredited and can later be used as an accelerated route towards further postgraduate and professional qualifications such as CBMBA.

The Chartered Banker MBA is the only dual qualification in the world offering both an MBA together with the prestigious Chartered Banker designation, the 4th largest Executive MBA emerging from the UK to a global audience (Sunday Times, 2017).

Prospective clients will be mid-career professionals working in the financial industry or regulation however no prior experience or qualifications are necessary. On successful completion of each module, 15 postgraduate credits are achieved.

The full offering of Chartered Banker MBA modules available can be requested as a short course*

- Banking Technology & FinTech
- Capital Markets and Treasury Management
- Corporate Finance
- Corporate Strategy
- Credit and Lending
- Financial Analysis
- Financial Crime
- Financial Crises and Regulation
- Financial Institutions Risk Management
- Financial Services Compliance
- Human Resources / Organisational Behaviour
- Islamic Banking and Finance
- Managerial Economics
- Marketing Strategy
- New Venture Creation
- Private Banking and Wealth Management
- Professional Ethics and Regulation
- Research Methods
- Retail Banking

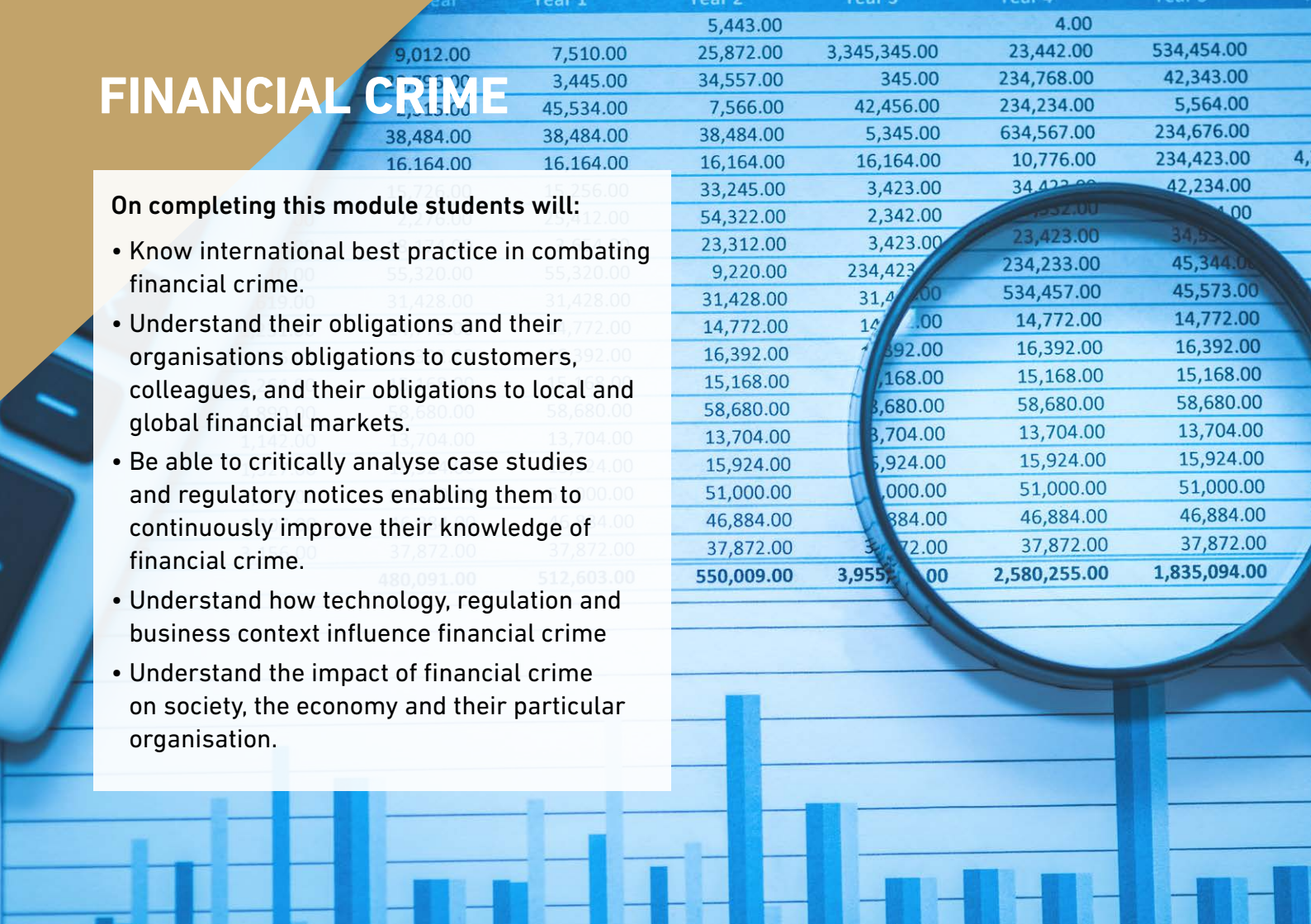
* A minimum class size of 24 participants required.

Corporate
Group
Discounts are
available

FINANCIAL CRIME

On completing this module students will:

- Know international best practice in combating financial crime.
- Understand their obligations and their organisations obligations to customers, colleagues, and their obligations to local and global financial markets.
- Be able to critically analyse case studies and regulatory notices enabling them to continuously improve their knowledge of financial crime.
- Understand how technology, regulation and business context influence financial crime
- Understand the impact of financial crime on society, the economy and their particular organisation.



The background features a blue-tinted image of a financial table with a magnifying glass. The table has columns labeled 'Year 2', 'Year 3', 'Year 4', and 'Year 5'. The data is as follows:

| | Year 2 | Year 3 | Year 4 | Year 5 | |
|------------|--------------|--------------|--------------|------------|------------|
| 9,012.00 | 7,510.00 | 25,872.00 | 3,345,345.00 | 23,442.00 | 534,454.00 |
| 3,445.00 | 3,445.00 | 34,557.00 | 345.00 | 234,768.00 | 42,343.00 |
| 45,534.00 | 45,534.00 | 7,566.00 | 42,456.00 | 234,234.00 | 5,564.00 |
| 38,484.00 | 38,484.00 | 38,484.00 | 5,345.00 | 634,567.00 | 234,676.00 |
| 16,164.00 | 16,164.00 | 16,164.00 | 16,164.00 | 10,776.00 | 234,423.00 |
| 33,245.00 | 3,423.00 | 34,423.00 | 34,423.00 | 42,234.00 | 4,000.00 |
| 54,322.00 | 2,342.00 | 23,312.00 | 3,423.00 | 23,423.00 | 34,557.00 |
| 9,220.00 | 234,423.00 | 234,233.00 | 234,233.00 | 45,344.00 | 45,573.00 |
| 31,428.00 | 31,428.00 | 14,772.00 | 14,772.00 | 14,772.00 | 14,772.00 |
| 16,392.00 | 16,392.00 | 15,168.00 | 15,168.00 | 15,168.00 | 15,168.00 |
| 58,680.00 | 58,680.00 | 58,680.00 | 58,680.00 | 58,680.00 | 58,680.00 |
| 13,704.00 | 13,704.00 | 13,704.00 | 13,704.00 | 13,704.00 | 13,704.00 |
| 15,924.00 | 15,924.00 | 15,924.00 | 15,924.00 | 15,924.00 | 15,924.00 |
| 51,000.00 | 51,000.00 | 51,000.00 | 51,000.00 | 51,000.00 | 51,000.00 |
| 46,884.00 | 46,884.00 | 46,884.00 | 46,884.00 | 46,884.00 | 46,884.00 |
| 37,872.00 | 37,872.00 | 37,872.00 | 37,872.00 | 37,872.00 | 37,872.00 |
| 550,009.00 | 3,955,000.00 | 2,580,255.00 | 1,835,094.00 | | |

MODULE OVERVIEW

This module provides financial professionals with a firm foundation to combat financial crime in all its forms. Written to appeal to both new hires and experienced professionals, the module brings together recent cases studies, the best academic research and a thorough understanding of the international regulatory environment.

Responsibility for compliance within capital markets has devolved to the front line and all employees are now expected to play a greater role in combating financial crime. Whether your role is client facing, operational or in a support function this module will help you better understand your organisation's vulnerabilities to financial crime.

During the introduction to the module we will be looking at your responsibilities as a banker, both legal and ethical. We'll explore your fiduciary duty to your clients and the rules and regulations governing your activities. The financial system is interconnected to such a degree that we'll need to consider both global and local regulations.

The second lecture is a deep dive into the world of Money Laundering and Terrorist Financing, hopefully many of you will have already had some training in this area but we aim to build on this with a more international bias and we'll look at ways that we can impede these activities. The third lecture covers Financial Fraud, both committed by the banks and those committed against the banks.

Fraud is often attributed to sole actors or rogue traders, but fraud can also be conducted on a larger scale. Examples include identify theft or ponzi schemes. Risk Management is an important aspect to combating Financial Crime and we'll look at this in our fourth lecture.

This will include a fascinating look at the root causes of crimes, covering everything from the business model through to management failures and some of the psychology around Financial Crime. To conclude the module, lecture five will wrap everything together and give us an opportunity to look at some contemporary issues.

ASSESSMENT METHODS

| Type | Name | Description | Measurement | Weight |
|-------|------------|--|-------------|--------|
| ESSAY | Assignment | <p>A 3,500 word assignment to examine the module learning outcomes.</p> <p>The assignment will be a management report completed by the learner to demonstrate an applied and theoretical understanding of financial crime using local scenarios and context.</p> | 3500 | 100 |



Learning Outcomes tested

- Demonstrate knowledge of the learner's local and global regulatory regime.
- Understanding of the forms of financial crime most relevant to the learner's organisation and local environment.
- Ability to communicate complex issues clearly and professionally
- Ability to conduct robust research.

TEACHING AND LEARNING STRATEGY

| | | Hours |
|----------------------|--|------------|
| Seminar | Three one hour live seminar to meet and discuss the module | 3 |
| Lecture | Five one hour pre-recorded lectures | 5 |
| Private study | Private study assisted through the provision of a study guide and online resources | 142 |

Transferable skills

- Literacy - Proficiency in reading technical papers and academic reading and writing.
- Self-Management - Able to work unsupervised in an efficient, punctual and structured manner. To examine the outcomes of tasks and events, and judge levels of quality and importance.
- Exploring - Able to investigate, research and consider alternatives.
- Critical analysis & Problem Solving - Able to deconstruct and analyse problems or complex situations. To find solutions to problems through analyses and exploration of all possibilities using appropriate methods, resources and creativity.
- Argument - Able to put forward, debate and justify an opinion or a course of action, with an individual or in a wider group setting.
- Self-awareness & Reflectivity - Having an awareness of your own strengths, weaknesses, aims and objectives. Able to regularly review, evaluate and reflect upon the performance of yourself and others.



ASSESSMENT CRITERIA

Threshold 52%

- Knowledge of key areas/ principles.
- Understands main areas.
- Limited evidence of background study.
- Answer focussed on question but also with some irrelevant material and weaknesses in structure.
- Arguments presented but lack coherence.
- Has several factual errors.
- No original interpretation.
- Only major links between topics are described.
- Limited problem solving.
- Some weaknesses in presentation and accuracy.

Good 62%

- Understands most but not all.
- Evidence of background study.
- Focussed answer with good structure.
- Arguments presented coherently.
- Mostly free of factual errors.
- Some limited original interpretation.
- Well known links between topics are described.
- Problems addressed by existing methods/approaches.
- Good presentation with accurate communication.

Excellent 74%

- Detailed understanding.
- Extensive background study.
- Highly focussed answer and well structured.
- Logically presented and defended arguments.
- No factual errors.
- Original interpretation.
- New links between topics are developed.
- New approach to a problem.
- Excellent presentation with very accurate communication.

For all enquiries about Chartered Banker MBA Short Courses,
dates, enrolment process and fees please contact:

The Chartered Banker MBA Team

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1000 Students > 100 Countries > 10 Years > 1 Chartered Banker MBA

